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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Date Allowed: **February 20, 2004**

**YAMAMOTO, et al.**

Group Art Unit: **2876**

Serial Number: **09/376,308**

Examiner: **Karl D. Frech**

Filed: **August 18, 1999**

For: **INTEGRATED CIRCUIT FOR PROTOCOL CONTROL**

**REQUEST FOR RETURN OF FORM PTO-1449**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 25, 2004

Sir:

An Information Disclosure Statement was filed on **January 27, 2004**. A copy of this Information Disclosure Statement is attached.

It is respectfully requested that the Examiner return a copy of the Form PTO-1449 which accompanies the Information Disclosure Statement, marked to indicate that the reference listed therein has been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

*William L. Brooks*

William L. Brooks

Attorney for Applicant

Reg. No. 34,129

WLB/mla  
Atty. Docket No. **990934**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosure: Information Disclosure Statement filed on **January 27, 2004**  
Date Stamped Post Card (copy)



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: YAMAMOTO, et al.

Group Art Unit: 2876

Serial No.: 09/376,308

Examiner: Karl D. Frech

Filed: August 18, 1999

For: INTEGRATED CIRCUIT FOR PROTOCOL CONTROL

**INFORMATION DISCLOSURE STATEMENT AND**  
**STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 27, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that

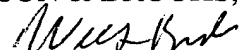
U.S. Patent Application Serial No. 09/376,308

the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

  
William L. Brooks

Attorney for Applicant  
Reg. No. 34,129

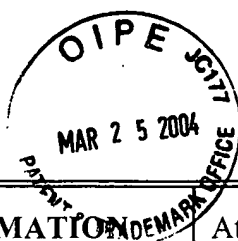
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**23850**

PATENT TRADEMARK OFFICE

Enclosures: PTO 1449  
Reference  
Copy of Japanese Patent Office Communication



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): YAMAMOTO, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 2876

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____	AF		
_____	AG		
_____	AH		
_____	AI		
_____	AJ		

### OTHER DOCUMENTS

_____	AK	“Multifunctional IC Card OS for EC and Reader/Writer Developed by IBM Japan”; <u>The Japan Industrial Journal</u> ; No. 23, Vol. 9, September 10, 1997, page 61.		
_____	AL	Copy of Japanese Patent Office Decision of Rejection for corresponding Japanese Patent Application No. HEI-301498 dated December 2, 2003.		
<table border="1"> <tr> <td>Examiner</td> <td>Date Considered</td> </tr> </table>			Examiner	Date Considered
Examiner	Date Considered			



**COPY**

Patent ☒ Trademark ☐ Docket No. 990934  
Serial No. 09/376,308 Filed August 18, 1999  
Applicant(s) YAMAMOTO et al.  
Papers filed herewith on January 27, 2004

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|---|--|
| <input type="checkbox"/> Fees \$ _____                                      | <input type="checkbox"/> Assignment            |
| <input type="checkbox"/> New Application                                    | <input type="checkbox"/> Letter to Draftsman   |
| <input type="checkbox"/> Amendment  | <input type="checkbox"/> Priority Document     |
| <input type="checkbox"/> Notice of Appeal                                   | <input type="checkbox"/> Req. for Ext. of Time |
| <input type="checkbox"/> Appeal Brief                                       | <input type="checkbox"/> Formal Drawings       |
| <input checked="" type="checkbox"/> Other <u>IDS; 1449; Ref; Cpy JP Com</u> |  |

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case.

WLB:mla



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